



Practitioner's Docket No. 51951 (23091/10 ACT-164)

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re application of: David W. Sherrer

Serial No.: 10/054,575

Group No.: 2839

Filed: November 13, 2001

Examiner: Javaid H. Nasri

For: OPTICAL CONNECTOR SYSTEM

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

I. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION

The patents, publications or other information submitted for consideration by the Office are listed on Form PTO-1449, attached hereto.

It is respectfully requested that the Examiner carefully consider and make of record each of the documents cited herein.

Applicants notify and cite to the Examiner the following copending and commonly assigned U.S. applications. U.S. Serial No. 09/923,842, filed August 7, 2001, U.S. Serial No. 09/852,709, filed May 11, 2001, U.S. Serial No. 10/022,726, filed December 20, 2001, and U.S. Serial No. 10/356,663, filed January 31, 2003.

II. COPIES

- a. X Submitted herewith is a legible copy of (i) each U.S and foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed.
- b. This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application Serial No. , filed on . The following references were submitted to, and/or cited by, the Office in the prior application (s) and, therefore, are not required to be provided in this application.

III. CONCISE EXPLANATION OF THE RELEVANCE
(check at least one box)

- a. Except as may be indicated below in (b), all of the patents, publications or other information are in the English language or were cited in an English language Search Report, a copy of which is attached hereto (concise explanation not required).
- b. A concise explanation of the relevance of all patents, publications or other information listed that is not in the English language is as follows:
See English language Abstracts attached to each of the documents cited and provided herewith.
- c. The following additional information is provided for the Examiner's consideration:

FEES

IV. THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(b)
(check one box)

- a. within three months of the filing date of a national application (37 C.F.R. § 1.97(b) (1). No fee or certification is required.
- b. within three months of the date of entry of the national stage as set forth in §1.491 in an international application (37 C.F.R. § 1.97(b) (2). No fee or certification is required.

- c. ____ Before the mailing date of a first Action on the merits (37 C.F.R. § 1.97(b) (3)). No fee or certification is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the certification under 37 C.F.R. § 1.97(e) below, or, if no certification has been made, charge our deposit account a fee in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p).

V. THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(c):
(check one box)

before the mailing date of a Final Office Action under 37 C.F.R. § 1.113 (See 37 C.F.R. § 1.97(c) (1)) or before the mailing date of a Notice of Allowance under 37 C.F.R. § 1.311 (See 37 C.F.R. § 1.97(c) (2)).

- a. ____ No certification; therefore, a fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p).

OR

- b. ____ See the certification below. No fee is required.

VI. STATEMENT UNDER 37 C.F.R. § 1.97(e) (check only one box)

The undersigned hereby states that

- a. ____ each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS; or
- b. ____ no item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.

c. _____ Some of the items of information were cited in a communication from a foreign Patent Office. As to this information, the undersigned certifies that each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.

_____ Please charge Deposit Account No. 04-1105 in the amount of \$180.00 for the above-indicated fee. A triplicate copy of this paper is attached.


_____ No fee is required.

If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule, with a petition if necessary, and charge the appropriate fee to Deposit Account No. 04-1105.

Reg. No. 39,499

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Customer No.: 21874



SIGNATURE OF PRACTITIONER

Jonathan D. Baskin

(type or print name of practitioner)

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P.O. Box 55874

P.O. Address

Boston, Massachusetts 02205



Sheet 1 of 2

LIST OF ART CITED BY APPLICANT

(Use several sheets if necessary)

Atty Docket No. 51951 (ACT-164)	Serial No. 10/054,575
Applicant David W. SHERRER	
Filing Date 11/13/2001	Group 2874

U.S. Patent Documents

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	Class/Subclass	FILING DATE IF APPROPRIATE
	AA	2001/0031117	10/1/2001	Steinberg	
	AB	2001/0041026	11/15/2001	Steinberg et al.	
	AC	2001/0041029	11/15/2001	Steinberg et al.	
	AD	2002/0028037	03/07/2002	Steinberg et al.	
	AE	2002/0181854	12/05/2002	Steinberg et al.	
	AF	2003/0138210	7/24/2003	Steinberg et al.	
	AG	2004/0057686	03/25/2004	Steinberg et al.	
	AH	5,613,024	3/18/1997	Shahid	
	AI	5,689,599	11/18/1997	Shahid	
	AJ	5,706,378	1/6/1998	Suzuki et al.	
	AK	6,012,852	1/11/2000	Kadar-Kallen et al.	

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	Class/Subclass	Translation Yes/No
	AL				
	AM				
	AN				
	AO				
	AP				

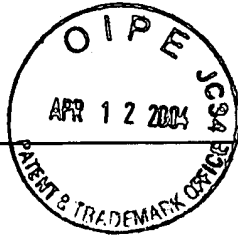
OTHER ART (Including Author, Title, Date, Pertinent Patents, etc.)

	AQ	
	AR	
	AS	

Examiner

Date Considered

*EXAMINER: Initial reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.



Sheet 2 of 2

LIST OF ART CITED BY APPLICANT

(Use several sheets if necessary)

Atty Docket No. ACT-164	Serial No. 10/054,575
Applicant David W. SHERRER	
Filing Date 11/13/2001	Group 2874

U.S. Patent Documents

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	Class/Subclass	FILING DATE IF APPROPRIATE
	AA	6,595,700	07/22/2003	Steinberg et al.		
	AB	6,633,691	10/14/2003	Steinberg et al.		
	AC					
	AD					
	AE					
	AF					
	AG					
	AH					
	AI					
	AJ					
	AK					

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	Class/Subclass	Translation Yes/No
	AL					
	AM					
	AN					
	AO					
	AP					

OTHER ART (Including Author, Title, Date, Pertinent Patents, etc.)

	AQ	
	AR	
	AS	

Examiner	Date Considered
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*EXAMINER: Initial reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.